



**INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT Sheet 1 of 7**

Docket No. P5764-US-NP-

Applicant: Mark A. Carlson and Rowan E. da Silva  
Serial No: 10/051,991  
Filed: January 16, 2002  
For: METHOD, SYSTEM AND PROGRAM FOR DETERMINING A MODIFICATION  
OF A SYSTEM RESOURCE CONFIGURATION  
Examiner: A. B. Hollar  
Art Unit: 2142

**U.S. PATENT DOCUMENTS**

Exam Inits	Cite No.	Patent Number	Kind Code	Patentee or Applicant Name	Issue Date
MDM		<del>40/208,958</del> 20040024573		ALLEN	07/31/02
		20020019864	A1	MAYER	2/2002
		20020113816	A1	MITCHELL, ET AL.	08/22/02
		20020133669	A1	DEVIREDDY, ET AL.	9/2002
		20020143905	A1	GOVINDARAJAN, ET AL.	10/2002
		20020147801	A1	GULLOTTA, ET AL.	10/10/02
		20020162010	A1	ALLEN, ET AL.	10/2002
		20020169858	A1	BELLINGER, ET AL.	11/2002
		20020178380	A1	WOLF, ET AL.	11/2002
		20020184300	A1	SCHMELLING, ET AL.	12/2002
		20020188584	A1	GHANNAM	12/12/02
		20020194407	A1	KIM	12/2002
		20030028624	A1	HASSAN, ET AL.	2/2003
		20030091037	A1	LATIF, ET AL.	5/2003
		20030093501	A1	CARLSON, ET AL.	5/2003
		20030169289	A1	HOLT	09/11/03
		20030184580	A1	KODOSKY, ET AL.	10/02/03
		2,012,527	B1	BATCHELDER	08/27/35
		2,675,228	B1	BAIRD, ET AL.	04/13/54
		3,571,677	B1	OESCHGER	03/23/71

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Exam Inits	Cite No.	Cy	Number	Kind Code	Patentee or Applicant Name	Publication Date	T
MDM		WO	WO0029954	A1	MTI TECHNOLOGY CORPORATION	5/25/2000	<input type="checkbox"/>
		WO	WO0130007	A2	IBM; MCDATA CORPORATION	4/26/2001	<input type="checkbox"/>
		WO	WO02089418	A1	THE BOEING COMPANY	11/7/2002	<input type="checkbox"/>
		WO	WO0225870	A1	STORAGEAPPS, INC.	3/28/2002	<input type="checkbox"/>
		WO	WO03014911	A1	SUN MICROSYSTEMS, INC.	2/20/2003	<input type="checkbox"/>
		EP	EP 0 623 876	A1	IBM CORP	11/9/1994	<input type="checkbox"/>
		EP	EP 0 773 649	A2	SUN MICROSYSTEMS, INC.	5/14/1997	<input type="checkbox"/>

Examiner  
Signature

*Mark D. Hollar*

Date  
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**INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT Sheet 2 of 7**

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**U.S. PATENT DOCUMENTS**

Exam Inits	Cite No.	Patent Number	Kind Code	Patentee or Applicant Name	Issue Date
MDM		4,138,692	B1	MEEKER	02/06/79
		4,228,219	B1	<del>DE JAGER</del> Hay et al.	10/14/80
		4,558,395	B1	YAMADA	12/10/85
		4,665,466	B1	GREEN	05/12/87
		4,721,996	B1	TUSTANIWSKYJ	01/26/88
		4,729,424	B1	MIZUNO	03/08/88
		4,733,331	B1	CHAUVET	03/22/88
		4,791,983	B1	NICOL	12/20/88
		4,809,134	B1	TUSTANIWSKYJ	02/28/89
		4,870,477	B1	NAKANISHI	09/26/89
		4,882,654	B1	NELSON	11/21/89
		4,977,444	B1	NAKAJIMA	12/11/90
		5,144,531	B1	GO	09/01/92
		5,166,863	B1	SHMUNIS	11/24/92
		5,177,667	B1	GRAHAM	01/05/93
		5,183,104	B1	NOVOTNY	02/02/03
		5,282,847	B1	<del>KOIZUMI</del> Trescony et al.	02/01/94
		5,305,461	B1	FEINENBAUM, ET AL.	4/1994
		5,323,847	B1	KOIZUMI	06/28/94
✓		5,406,807	B1	ASHIWAKE	04/18/95

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Exam Inits	Cite No.	Cy	Number	Kind Code	Patentee or Applicant Name	Publication Date	T
MDM		GB	GB 2 278 468	A	INTERNATIONAL COMPUTERS LIMITED	11/30/1994	<input type="checkbox"/>
↓		GB	GB 2 344 963	A1	NORTEL NETWORKS CORP	6/21/2000	<input type="checkbox"/>
✓		GB	GB 2 354 611	A1	IBM CORP	3/28/2001	<input type="checkbox"/>
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Exam Inits	Cite No.	Patent Number	Kind Code	Patentee or Applicant Name	Issue Date
MM		5,465,192	B1	YOSHIKAWA	11/07/95
		5,535,094	B1	NELSON	07/09/96
		5,588,119	B1	VINCENT	12/24/96
		5,675,473	B1	MCDUNN	10/07/97
		5,701,045	B1	YOKOZAWA	12/23/97
		5,706,668	B2	HILPERT	01/13/98
		5,751,933	B1	DEV	05/12/98
		5,771,388	B1	MONDRIK, ET AL.	6/1998
		5,912,802	B1	NELSON	06/15/99
		5,940,269	B1	KO	08/17/99
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		6,029,742	B1	BURWARD-HOY	02/29/00
		6,031,528	B1	LANGFAHL	02/29/00
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		6,058,426	B1	GODWIN, ET AL.	5/2000
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		6,119,118	B1	KAIN, ET AL.	9/2000

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Exam Inits	Cite No.	Cy	Number	Kind Code	Patentee or Applicant Name	Publication Date	T
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Exam Inits	Cite No.	Patent Number	Kind Code	Patentee or Applicant Name	Issue Date
M/M		6,125,924	B1	LIN	10/03/00
		6,130,820	B1	KONSTAD	10/10/00
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		6,213,194	B1	CHRYSLER	04/10/01
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		6,313,990	B1	CHEON	11/06/01
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		6,396,697	B1	CHEN	05/28/02
V		6,408,336	B1	SCHNEIDER, ET AL.	06/18/02
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*Michael D. Yuen*

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Exam Inits	Cite No.	Patent Number	Kind Code	Patentee or Applicant Name	Issue Date
MDM		6,425,007	B1	MESSINGER	07/23/02
		6,438,984	B1	NOVOTNY	08/27/02
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		6,487,643	B1	KHARE, ET AL.	11/2002
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		6,526,768	B1	WALL	03/04/03
		6,542,360	B1	KOIZUMI	04/01/03
		6,574,708	B1	HAYTER, ET AL.	6/03
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		6,604,136	B1	CHANG, ET AL.	8/2003
		6,604,137	B2	COWAN	08/05/03
		6,628,304	B2	MITCHELL	09/30/03
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		6,658,526	B2	NGUYEN, ET AL.	12/2003
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		6,704,778	B1	HORMAN	3/2004
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		6,760,761	B1	SCIACCA	7/2004
		6,772,204	B1	HANSEN	8/2004
		6,775,700	B1	CHENG, ET AL.	08/10/04
		6,799,208	B1	SANKARANARAYAN, ET AL.	9/2004
		6,823,382	B2	STONE	11/2004
		6,834,298	B1	SINGER, ET AL.	12/2004
		6,845,395	B1	BLUMENAU, ET AL.	01/18/05
		6,871,232	B2	CURIE, ET AL.	3/2005

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							<input type="checkbox"/>
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 Art Unit: 2142

**OTHER PRIOR ART – NON PATENT LITERATURE AND DOCUMENTS**

Exam Inits	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the articles (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
MM		ANONYMOUS, "Jiro Technology Technical Overview", URL: <a href="http://sunsolve.sun.com/kmsattachments/22961">http://sunsolve.sun.com/kmsattachments/22961</a> , 2000	<input type="checkbox"/>
MM		ANONYMOUS, "Sun's Managed Storage Networks", URL: <a href="http://sunsolve.sun.com/kmsattachments/22955">http://sunsolve.sun.com/kmsattachments/22955</a> , 2000	<input type="checkbox"/>
MM		ANONYMOUS, "Jiro Technical Overview White Paper, Free White Papers/Tech Bulletins, Sun-Solve Search Result", URL: <a href="http://sunsolve.sun.com/pub-cgi/retrieve.pl?doc=fwpaper/22961@zone_32=jiro">http://sunsolve.sun.com/pub-cgi/retrieve.pl?doc=fwpaper/22961@zone_32=jiro</a> , June 28, 2000	<input type="checkbox"/>
		ANONYMOUS, "Managed Storage Networks White Paper, Free White Papers/Tech Bulletins, Sun-Solve Search Result", URL: <a href="http://sunsolve.sun.com/pub-cgi/retrieve.pl?doc=fwpaper/22955@zone_32=managed">http://sunsolve.sun.com/pub-cgi/retrieve.pl?doc=fwpaper/22955@zone_32=managed</a> , June 28, 2000	<input type="checkbox"/>
		ANONYMOUS, "Introduction to Jiro Technology, Javaone - Sun's 2000 Worldwide Java Developer Conference", URL: <a href="http://servicet.java.sun.com/javaone/javaone2000/pdfs/TS-1156.pdf">http://servicet.java.sun.com/javaone/javaone2000/pdfs/TS-1156.pdf</a> , November 24, 2003	<input type="checkbox"/>
MM		ANONYMOUS, "Jini: New Technology for a Networked World", URL: <a href="http://javaworld.com/javaworld/jw-06-1999/jw-06-jiniology_p.html">http://javaworld.com/javaworld/jw-06-1999/jw-06-jiniology_p.html</a> , June 30, 1999, Pages 1-5	<input type="checkbox"/>
MM		ANONYMOUS, "Pattern: Blackboard", <a href="http://www.vico.org/pages/Patrons%20Blackboard">http://www.vico.org/pages/Patrons%20Blackboard</a> , July 12, 2002, Pages 1-2	<input type="checkbox"/>
MM		BLAKE, "An Agent-Based Cross-Organizational Workflow Architecture in Support of Web Services", Proceedings of 11th IEEE Int'l Workshops on Enabling Tech., 2002 IEEE, Computer Science	<input type="checkbox"/>
MM		BRENT KNIGHT, "Reducing the Complexity of Managing Private Loops", 2000, 1-11	<input type="checkbox"/>

Examiner  
Signature

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**INFORMATION DISCLOSURE STATEMENT  
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**OTHER PRIOR ART – NON PATENT LITERATURE AND DOCUMENTS**

Exam Inits	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the articles (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
MM		BUSHMAN, "Pattern Oriented Software Architecture, A System of Patterns", 1996, Pgs 71-95, John Wiley & Sons, New York	<input type="checkbox"/>
MM		BUSINESS EDITORS & HIGH-TECH WRITERS, "Tivoli Simplifies SAN Management", Business Wire, October 9, 2000, New York	<input type="checkbox"/>
MM		CAZALENS, ET AL., "A Web Site Indexing Process for an Internet Information Retrieval Agent System", Unknown, 2000 IEEE, 254-258, Unknown, Nantes Cedex	<input type="checkbox"/>
MM		DUPUY, ET AL., "NETMATE: A NETWORK MANAGEMENT ENVIRONMENT", IEEE NETWORK, March 5, 1991, 35-40, 43, New York	<input type="checkbox"/>
MM		FINKE, ET AL., "Distribution and Inheritance in the HERON Approach to Heterogeneous Computing", Proceedings of the Int'l Conf on Distributed Computing Systems, May 25, 1993, 399-408, IEEE Comp Soc Press, Los Alamitos	<input type="checkbox"/>
MM		MARTIN, JEAN-CHRISTOPHE, "Policy-Based Networks", URL: <a href="http://www.sun.com/solutions/blueprints/1099/policy.pdf">http://www.sun.com/solutions/blueprints/1099/policy.pdf</a> , Date Unknown	<input type="checkbox"/>
MM		MUKHOPADHA, ET AL., "Multi-Agent Markov Decision Processes With Limited Agent Communication", Proceedings of the 2001 IEEE, Sept. 5-7, 2001, Pgs. 7-12, Mexico City	<input type="checkbox"/>
MM		RICHARD, "Fibre Channel as a Network Backbone", WESCON/94, IDEA/MICROELECTRONICS, Conference record Anaheim, Date Unknown, 653-659, New York	<input type="checkbox"/>
			<input type="checkbox"/>

Examiner  
Signature

*Michael S. Meri*

Date  
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